

## Electronic Acknowledgement Receipt

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| <b>EFS ID:</b>                              | 6458638  |
| <b>Application Number:</b>                  | 10026359   |
| <b>International Application Number:</b>    |  |
| <b>Confirmation Number:</b>                 | 8067   |
| <b>Title of Invention:</b>                  | METHOD AND SYSTEM FOR COMPUTER-IMPLEMENTED TRADING OF SECONDARY MARKET DEBT SECURITIES |
| <b>First Named Inventor/Applicant Name:</b> | Brijesh Agarwal  |
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| <b>Filer:</b>                               | Irah Donner/Michael Riego  |
| <b>Filer Authorized By:</b>                 | Irah Donner  |
| <b>Attorney Docket Number:</b>              | 1497210.00123US1   |
| <b>Receipt Date:</b>                        | 16-NOV-2009  |
| <b>Filing Date:</b>                         | 24-DEC-2001  |
| <b>Time Stamp:</b>                          | 15:13:08   |
| <b>Application Type:</b>                    | Utility under 35 USC 111(a)  |

### Payment information:

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| Submitted with Payment                   | yes           |
| Payment Type                             | Credit Card   |
| Payment was successfully received in RAM | \$200         |
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## File Listing:

| Document Number | Document Description            | File Name                              | File Size(Bytes)/ Message Digest               | Multi Part /.zip | Pages (if appl.) |
|-----------------|---------------------------------|--|--|------------------|------------------|
| 1               | Patent Term Adjustment Petition | 1497210_123US1_PTA_Reconsideration.pdf | 90027<br>50198917416228c48c9091d7d316a30a3e87e | no               | 3                |

### Warnings:

### Information:

|   |                         |              |   |    |   |
|---|-------------------------|--------------|---|----|---|
| 2 | Fee Worksheet (PTO-875) | fee-info.pdf | 30511<br>65ac358a6a513ab316ac148a656b06c3af53a7f4 | no | 2 |
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### Warnings:

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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.